

Synchronizing Aperiodic Automata

M. V. Volkov

Ural State University, Ekaterinburg, Russia



Synchronizing automata

We consider DFA: $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$.

Synchronizing automata

We consider DFA: $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$.

The DFA \mathcal{A} is called *synchronizing* if there exists a word $w \in \Sigma^*$ whose action resets \mathcal{A} , that is, leaves the automaton in one particular state no matter which state in Q it started at.

Synchronizing automata

We consider DFA: $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$.

The DFA \mathcal{A} is called *synchronizing* if there exists a word $w \in \Sigma^*$ whose action resets \mathcal{A} , that is, leaves the automaton in one particular state no matter which state in Q it started at.

$|Q \cdot w| = 1$. Here $Q \cdot v$ stands for $\{\delta(q, v) \mid q \in Q\}$.

Synchronizing automata

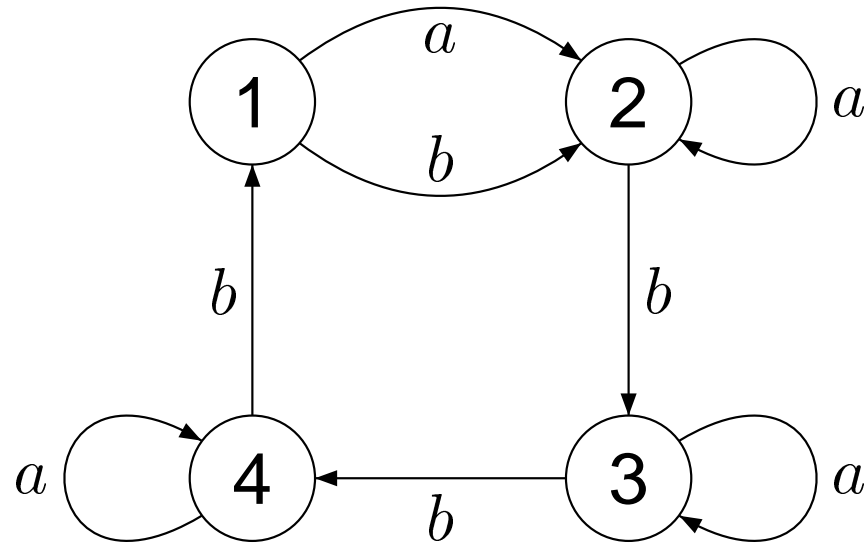
We consider DFA: $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$.

The DFA \mathcal{A} is called *synchronizing* if there exists a word $w \in \Sigma^*$ whose action resets \mathcal{A} , that is, leaves the automaton in one particular state no matter which state in Q it started at.

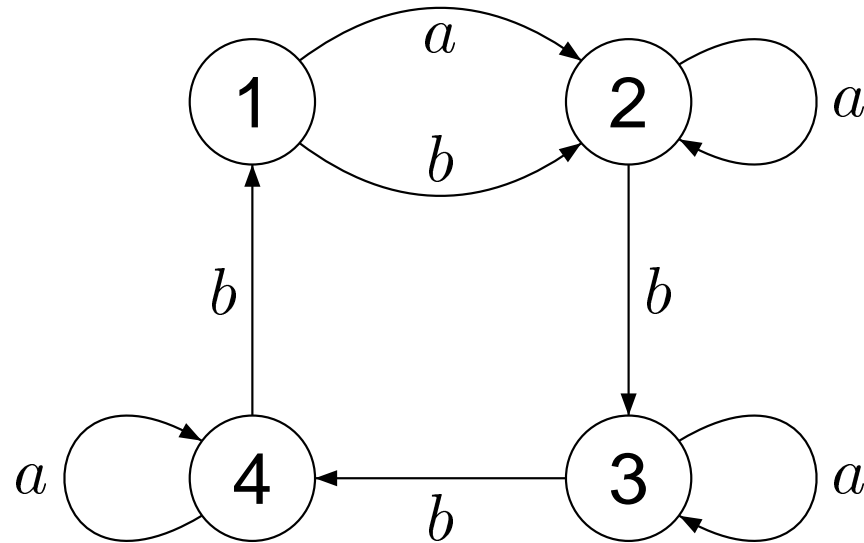
$|Q \cdot w| = 1$. Here $Q \cdot v$ stands for $\{\delta(q, v) \mid q \in Q\}$.

Any word w with this property is said to be a *reset word* for the automaton.

Synchronizing automata



Synchronizing automata



A reset sequence of actions is $abbbabbba$. Applying it at any state brings the automaton to the state 2.

Synchronizing Automata

The notion was formalized in 1964 in a paper by Jan Černý (Poznámka k homogénnym experimentom s konečnými automatami, Mat.-Fyz. Cas. Slovensk. Akad. Vied. 14 (1964) 208–216) though implicitly it had been studied since 1956.

Synchronizing Automata

The notion was formalized in 1964 in a paper by Jan Černý (Poznámka k homogénnym experimentom s konečnými automatami, Mat.-Fyz. Cas. Slovensk. Akad. Vied. 14 (1964) 208–216) though implicitly it had been studied since 1956.

The idea of synchronization is pretty natural and of obvious importance: we aim to restore control over a device whose current state is not known.

Synchronizing Automata

The notion was formalized in 1964 in a paper by Jan Černý (Poznámka k homogénnym experimentom s konečnými automatami, Mat.-Fyz. Cas. Slovensk. Akad. Vied. 14 (1964) 208–216) though implicitly it had been studied since 1956.

The idea of synchronization is pretty natural and of obvious importance: we aim to restore control over a device whose current state is not known.

Think of a satellite which loops around the Moon and cannot be controlled from the Earth while “behind” the Moon (Černý’s original motivation).

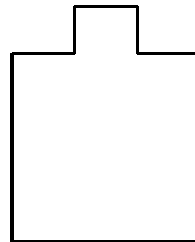
Engineering Applications

In the 80s, the notion was reinvented by engineers working in *robotics* or, more precisely, *robotic manipulation* which deals with part handling problems in industrial automation such as part feeding, fixturing, loading, assembly and packing (and which is therefore of utmost and direct practical importance).

Engineering Applications

In the 80s, the notion was reinvented by engineers working in *robotics* or, more precisely, *robotic manipulation* which deals with part handling problems in industrial automation such as part feeding, fixturing, loading, assembly and packing (and which is therefore of utmost and direct practical importance).

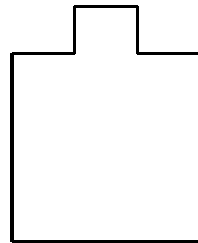
Suppose that one of the parts of a certain device has the following shape:



Engineering Applications

In the 80s, the notion was reinvented by engineers working in *robotics* or, more precisely, *robotic manipulation* which deals with part handling problems in industrial automation such as part feeding, fixturing, loading, assembly and packing (and which is therefore of utmost and direct practical importance).

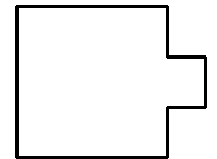
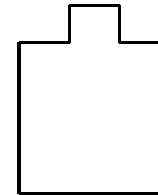
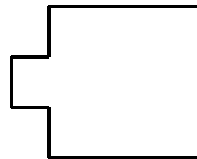
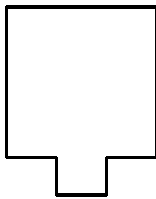
Suppose that one of the parts of a certain device has the following shape:



Such parts arrive at manufacturing sites in boxes and they need to be sorted and oriented before assembly.

Engineering Applications

Assume that only four initial orientations of the part shown above are possible, namely, the following ones:



Engineering Applications

Assume that only four initial orientations of the part shown above are possible, namely, the following ones:



Suppose that prior the assembly the part should take the “bump-left” orientation (the second one on the picture). Thus, one has to construct an orienter which action will put the part in the prescribed position independently of its initial orientation.

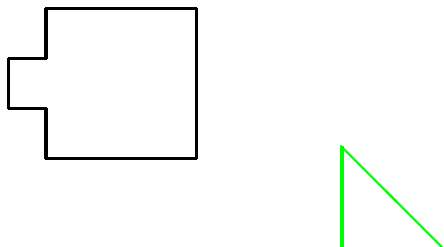
Engineering Applications

We put parts to be oriented on a conveyer belt which takes them to the assembly point and let the stream of the details encounter a series of passive obstacles of two types (*high* and *low*) placed along the belt.

Engineering Applications

We put parts to be oriented on a conveyer belt which takes them to the assembly point and let the stream of the details encounter a series of passive obstacles of two types (*high* and *low*) placed along the belt.

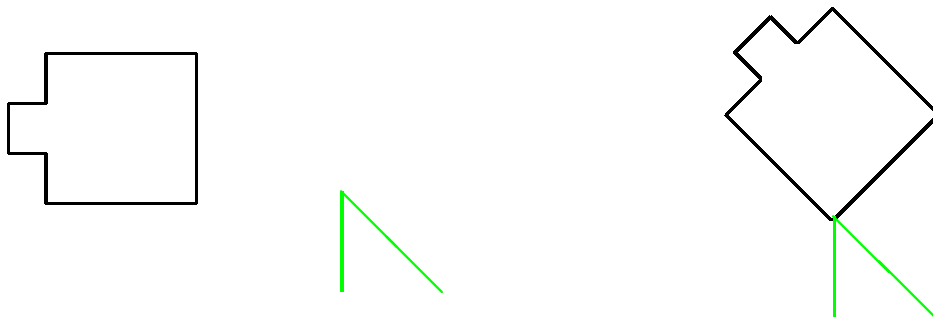
A high obstacle is high enough so that any part on the belt encounters this obstacle by its rightmost low angle.



Engineering Applications

We put parts to be oriented on a conveyer belt which takes them to the assembly point and let the stream of the details encounter a series of passive obstacles of two types (*high* and *low*) placed along the belt.

A high obstacle is high enough so that any part on the belt encounters this obstacle by its rightmost low angle.

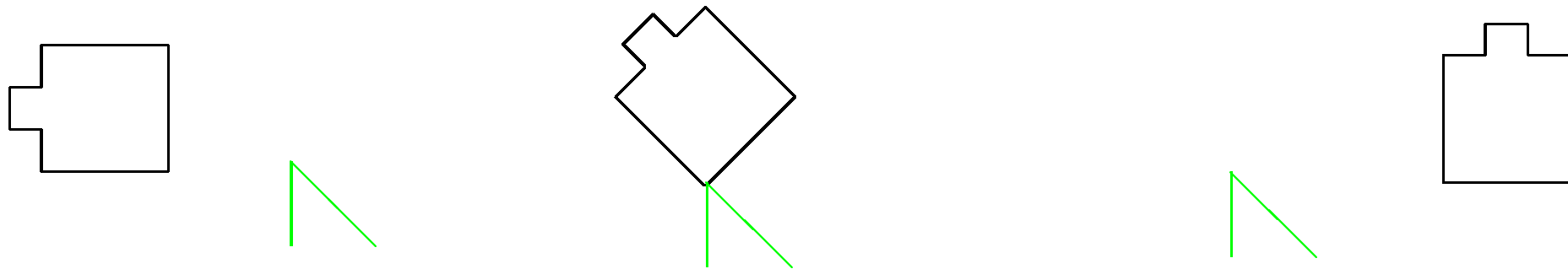


Being carried by the belt, the part then is forced to turn 90° clockwise.

Engineering Applications

We put parts to be oriented on a conveyer belt which takes them to the assembly point and let the stream of the details encounter a series of passive obstacles of two types (*high* and *low*) placed along the belt.

A high obstacle is high enough so that any part on the belt encounters this obstacle by its rightmost low angle.



Being carried by the belt, the part then is forced to turn 90° clockwise.

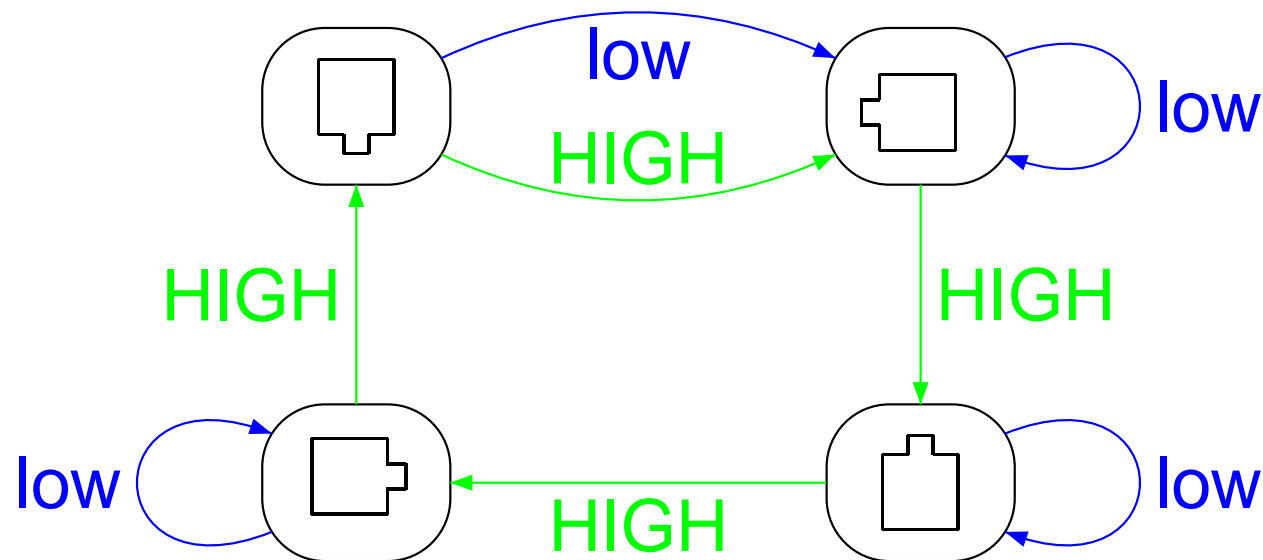
Engineering Applications

A low obstacle has the same effect whenever the part is in the “bump-down” orientation; otherwise it does not touch the part which therefore passes by without changing the orientation.

Engineering Applications

A low obstacle has the same effect whenever the part is in the “bump-down” orientation; otherwise it does not touch the part which therefore passes by without changing the orientation.

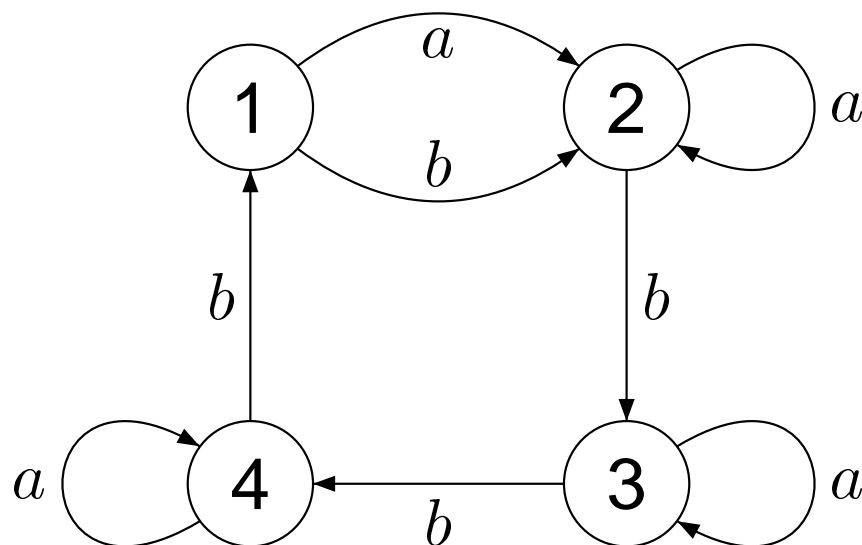
The following schema summarizes how the obstacles effect the orientation of the part in question:



Engineering Applications

We met this picture a few slides ago:

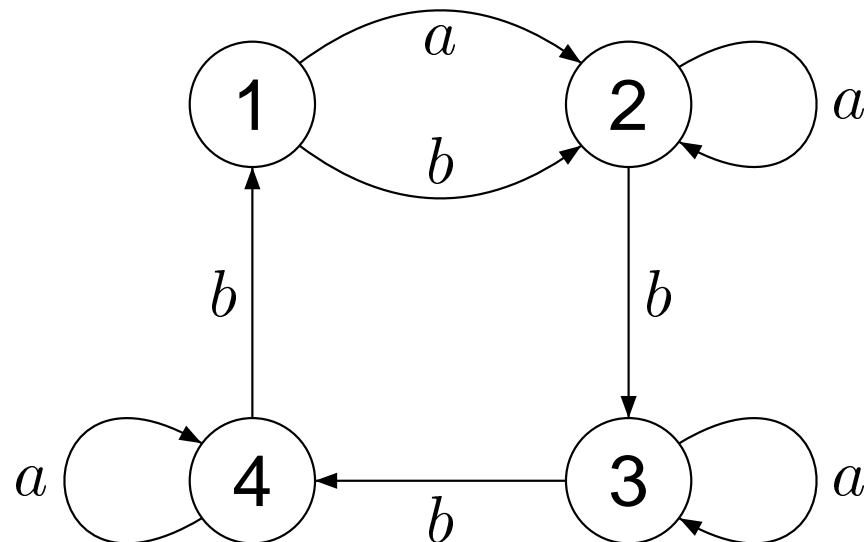
We met this picture a few slides ago:



– this was our example of a synchronizing automaton, and we saw that $abbbabbbba$ is a reset sequence of actions.

Engineering Applications

We met this picture a few slides ago:



– this was our example of a synchronizing automaton, and we saw that $abbbabbbba$ is a reset sequence of actions. Hence the series of obstacles

low-HIGH-HIGH-HIGH-low-HIGH-HIGH-HIGH-low yields the desired sensorless orienter.

Further Applications

In *DNA-computing*, there is a fast progressing work by Ehud Shapiro's group on "*soup of automata*" (Programmable and autonomous computing machine made of biomolecules, Nature 414, no.1 (November 22, 2001) 430–434; DNA molecule provides a computing machine with both data and fuel, Proc. National Acad. Sci. USA 100 (2003) 2191–2196, etc).

Further Applications

In *DNA-computing*, there is a fast progressing work by Ehud Shapiro's group on "*soup of automata*" (Programmable and autonomous computing machine made of biomolecules, Nature 414, no.1 (November 22, 2001) 430–434; DNA molecule provides a computing machine with both data and fuel, Proc. National Acad. Sci. USA 100 (2003) 2191–2196, etc). They have produced a solution containing 3×10^{12} identical DNA-based automata per μl .

Further Applications

In *DNA-computing*, there is a fast progressing work by Ehud Shapiro's group on "*soup of automata*" (Programmable and autonomous computing machine made of biomolecules, Nature 414, no.1 (November 22, 2001) 430–434; DNA molecule provides a computing machine with both data and fuel, Proc. National Acad. Sci. USA 100 (2003) 2191–2196, etc). They have produced a solution containing 3×10^{12} identical DNA-based automata per μl . These automata can work in parallel on different inputs (DNA strands), thus ending up in different and unpredictable states.

Further Applications

In *DNA-computing*, there is a fast progressing work by Ehud Shapiro's group on "*soup of automata*" (Programmable and autonomous computing machine made of biomolecules, Nature 414, no.1 (November 22, 2001) 430–434; DNA molecule provides a computing machine with both data and fuel, Proc. National Acad. Sci. USA 100 (2003) 2191–2196, etc). They have produced a solution containing 3×10^{12} identical DNA-based automata per μl . These automata can work in parallel on different inputs (DNA strands), thus ending up in different and unpredictable states. One has to feed the automata with an reset sequence (again encoded by a DNA-strand) in order to get them ready for a new use.

The Černý conjecture

Clearly, from the viewpoint of the above applications (as well as from the mathematical point of view) the following question is of importance:

The Černý conjecture

Clearly, from the viewpoint of the above applications (as well as from the mathematical point of view) the following question is of importance:

Suppose a synchronizing automaton has n states. What is the length of its shortest reset sequence?

The Černý conjecture

Clearly, from the viewpoint of the above applications (as well as from the mathematical point of view) the following question is of importance:

Suppose a synchronizing automaton has n states.

What is the length of its shortest reset sequence?

In the example above the automaton has 4 states and there is a reset sequence of length 9. In fact, this was the shortest possible reset sequence.

The Černý conjecture

Clearly, from the viewpoint of the above applications (as well as from the mathematical point of view) the following question is of importance:

Suppose a synchronizing automaton has n states.

What is the length of its shortest reset sequence?

In the example above the automaton has 4 states and there is a reset sequence of length 9. In fact, this was the shortest possible reset sequence.

In 1964, Černý conjectured that every synchronizing automaton with n states has a reset sequence of length $(n - 1)^2$ — as in our example where $9 = (4 - 1)^2$.

The Černý conjecture

Clearly, from the viewpoint of the above applications (as well as from the mathematical point of view) the following question is of importance:

Suppose a synchronizing automaton has n states.

What is the length of its shortest reset sequence?

In the example above the automaton has 4 states and there is a reset sequence of length 9. In fact, this was the shortest possible reset sequence.

In 1964, Černý conjectured that every synchronizing automaton with n states has a reset sequence of length $(n - 1)^2$ — as in our example where $9 = (4 - 1)^2$.

The simply looking conjecture is still open in general!!

The Černý conjecture

The best upper bound known so far is $(n^3 - n)/6$
(J.-E. Pin, 1983).

The Černý conjecture

The best upper bound known so far is $(n^3 - n)/6$ (J.-E. Pin, 1983). It is also known that the problem is hard from the computational complexity point of view.

The Černý conjecture

The best upper bound known so far is $(n^3 - n)/6$ (J.-E. Pin, 1983). It is also known that the problem is hard from the computational complexity point of view. Given a DFA \mathcal{A} and a positive integer ℓ , the problem whether or not \mathcal{A} has a reset word of length $\leq \ell$ is **NP-complete** (D. Eppstein, 1990; P. Goralčík and V. Koubek, 1995; A. Salomaa, 2003).

The Černý conjecture

The best upper bound known so far is $(n^3 - n)/6$ (J.-E. Pin, 1983). It is also known that the problem is hard from the computational complexity point of view.

Given a DFA \mathcal{A} and a positive integer ℓ , the problem whether or not \mathcal{A} has a reset word of length $\leq \ell$ is **NP-complete** (D. Eppstein, 1990; P. Goralčík and V. Koubek, 1995; A. Salomaa, 2003).

Given a DFA \mathcal{A} and a positive integer ℓ , the problem whether or not the shortest reset word for \mathcal{A} has length ℓ is **co-NP-hard** (W. Samotij, 2007).

Aperiodic Automata

Some progress has been achieved for various restricted classes of synchronizing automata.

Aperiodic Automata

Some progress has been achieved for various restricted classes of synchronizing automata. In this talk we concentrate on the class A_p of *aperiodic automata*.

Aperiodic Automata

Some progress has been achieved for various restricted classes of synchronizing automata. In this talk we concentrate on the class Λ_p of *aperiodic automata*.

Recall that the *transition monoid* of a DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ consists of all transformations $\delta(\sqcup, w) : Q \rightarrow Q$ induced by words $w \in \Sigma^*$.

Aperiodic Automata

Some progress has been achieved for various restricted classes of synchronizing automata. In this talk we concentrate on the class \mathcal{A}_p of *aperiodic automata*.

Recall that the *transition monoid* of a DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ consists of all transformations $\delta(\sqcup, w) : Q \rightarrow Q$ induced by words $w \in \Sigma^*$. A monoid is said to be *aperiodic* if all its subgroups are singletons.

Aperiodic Automata

Some progress has been achieved for various restricted classes of synchronizing automata. In this talk we concentrate on the class \mathcal{A}_p of *aperiodic automata*.

Recall that the *transition monoid* of a DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ consists of all transformations $\delta(_, w) : Q \rightarrow Q$ induced by words $w \in \Sigma^*$. A monoid is said to be *aperiodic* if all its subgroups are singletons. A DFA is called *aperiodic* (or *counter-free*) if its transition monoid is aperiodic.

Aperiodic Automata

Some progress has been achieved for various restricted classes of synchronizing automata. In this talk we concentrate on the class A_p of *aperiodic automata*.

Recall that the *transition monoid* of a DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ consists of all transformations $\delta(\sqcup, w) : Q \rightarrow Q$ induced by words $w \in \Sigma^*$. A monoid is said to be *aperiodic* if all its subgroups are singletons. A DFA is called *aperiodic* (or *counter-free*) if its transition monoid is aperiodic.

Synchronization issues remain difficult when restricted to A_p .

Aperiodic Automata

Good news: Recently A. Trakhtman has proved that every synchronizing aperiodic automaton with n states admits a reset word of length at most $\frac{n(n-1)}{2}$.

Aperiodic Automata

Good news: Recently A. Trakhtman has proved that every synchronizing aperiodic automaton with n states admits a reset word of length at most $\frac{n(n-1)}{2}$.

Bad news: No precise bound for $SAS(n)$, the minimum length of reset words for synchronizing aperiodic automata with n states, has been found so far.

Aperiodic Automata

Good news: Recently A. Trakhtman has proved that every synchronizing aperiodic automaton with n states admits a reset word of length at most $\frac{n(n-1)}{2}$.

Bad news: No precise bound for $SAS(n)$, the minimum length of reset words for synchronizing aperiodic automata with n states, has been found so far.

$$\text{(Trakhtman)} \quad \frac{n(n-1)}{2} \geq SAS(n)$$

Aperiodic Automata

Good news: Recently A. Trakhtman has proved that every synchronizing aperiodic automaton with n states admits a reset word of length at most $\frac{n(n-1)}{2}$.

Bad news: No precise bound for $SAS(n)$, the minimum length of reset words for synchronizing aperiodic automata with n states, has been found so far.

$$\text{(Trakhtman)} \quad \frac{n(n-1)}{2} \geq SAS(n) \geq n + \left\lfloor \frac{n}{2} \right\rfloor - 2 \quad \text{(Ananichev)}$$

Aperiodic Automata

Good news: Recently A. Trakhtman has proved that every synchronizing aperiodic automaton with n states admits a reset word of length at most $\frac{n(n-1)}{2}$.

Bad news: No precise bound for $SAS(n)$, the minimum length of reset words for synchronizing aperiodic automata with n states, has been found so far.

$$\text{(Trakhtman)} \quad \frac{n(n-1)}{2} \geq SAS(n) \geq n + \left\lfloor \frac{n}{2} \right\rfloor - 2 \quad \text{(Ananichev)}$$

The gap between the upper and the lower bounds is rather drastic.

Aperiodic Automata

Producing lower bounds for $SAS(n)$ is difficult because it is quite difficult to produce aperiodic automata.

Aperiodic Automata

Producing lower bounds for $SAS(n)$ is difficult because it is quite difficult to produce aperiodic automata. The question of whether or not a given DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ is aperiodic is **PSPACE-complete** (Cho and Huynh, 1991).

Aperiodic Automata

Producing lower bounds for $SAS(n)$ is difficult because it is quite difficult to produce aperiodic automata. The question of whether or not a given DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ is aperiodic is **PSPACE-complete** (Cho and Huynh, 1991). Practically, there is no way to check the aperiodicity of \mathcal{A} avoiding the calculation of its transition monoid, and the cardinality of the monoid can reach $|Q|^{|Q|}$.

Aperiodic Automata

Producing lower bounds for $SAS(n)$ is difficult because it is quite difficult to produce aperiodic automata. The question of whether or not a given DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ is aperiodic is **PSPACE-complete** (Cho and Huynh, 1991). Practically, there is no way to check the aperiodicity of \mathcal{A} avoiding the calculation of its transition monoid, and the cardinality of the monoid can reach $|Q|^{|Q|}$. Hence, no hope that experiments can help.

Aperiodic Automata

Producing lower bounds for $SAS(n)$ is difficult because it is quite difficult to produce aperiodic automata. The question of whether or not a given DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ is aperiodic is **PSPACE-complete** (Cho and Huynh, 1991). Practically, there is no way to check the aperiodicity of \mathcal{A} avoiding the calculation of its transition monoid, and the cardinality of the monoid can reach $|Q|^{|Q|}$. Hence, no hope that experiments can help. On the other hand, all attempts to reduce the upper bound have failed so far.

Aperiodic Automata

Producing lower bounds for $SAS(n)$ is difficult because it is quite difficult to produce aperiodic automata. The question of whether or not a given DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ is aperiodic is **PSPACE-complete** (Cho and Huynh, 1991). Practically, there is no way to check the aperiodicity of \mathcal{A} avoiding the calculation of its transition monoid, and the cardinality of the monoid can reach $|Q|^{|Q|}$. Hence, no hope that experiments can help. On the other hand, all attempts to reduce the upper bound have failed so far.

The idea: consider certain properties that guarantee aperiodicity and are easier to check.

A DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ is *monotonic* if Q admits a linear order \leq such that, for $a \in \Sigma$, the transformation $\delta(_, a)$ of Q preserves \leq :

$$p \leq q \Rightarrow \delta(p, a) \leq \delta(q, a).$$

A DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ is *monotonic* if Q admits a linear order \leq such that, for $a \in \Sigma$, the transformation $\delta(_, a)$ of Q preserves \leq :

$$p \leq q \Rightarrow \delta(p, a) \leq \delta(q, a).$$

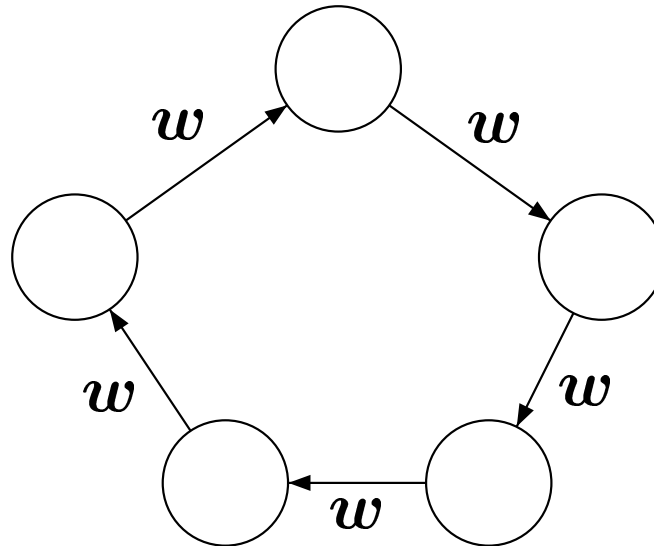
Monotonic automata are aperiodic (known and easy).

Monotonicity

A DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ is *monotonic* if Q admits a linear order \leq such that, for $a \in \Sigma$, the transformation $\delta(_, a)$ of Q preserves \leq :

$$p \leq q \Rightarrow \delta(p, a) \leq \delta(q, a).$$

Monotonic automata are aperiodic (known and easy).

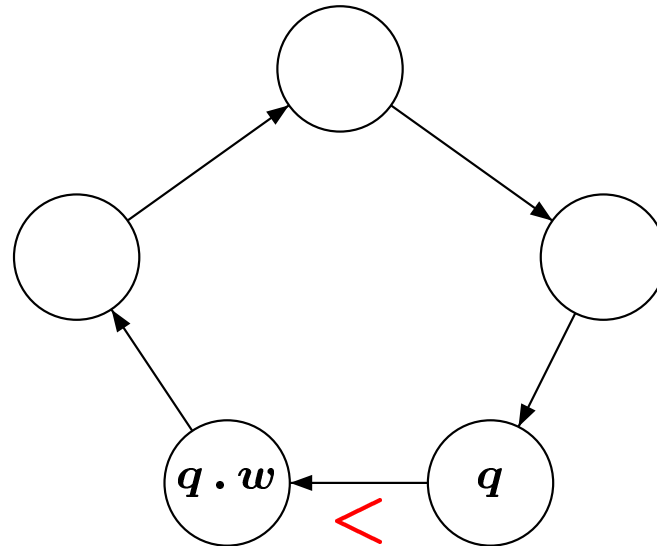


Monotonicity

A DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ is *monotonic* if Q admits a linear order \leq such that, for $a \in \Sigma$, the transformation $\delta(_, a)$ of Q preserves \leq :

$$p \leq q \Rightarrow \delta(p, a) \leq \delta(q, a).$$

Monotonic automata are aperiodic (known and easy).

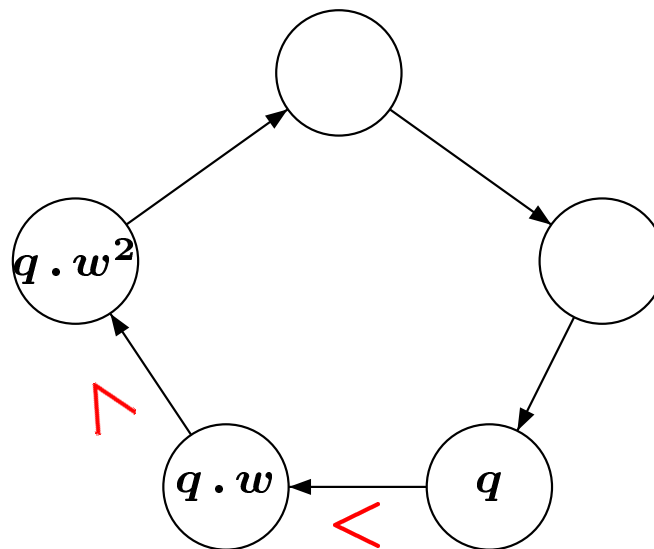


Monotonicity

A DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ is *monotonic* if Q admits a linear order \leq such that, for $a \in \Sigma$, the transformation $\delta(_, a)$ of Q preserves \leq :

$$p \leq q \Rightarrow \delta(p, a) \leq \delta(q, a).$$

Monotonic automata are aperiodic (known and easy).

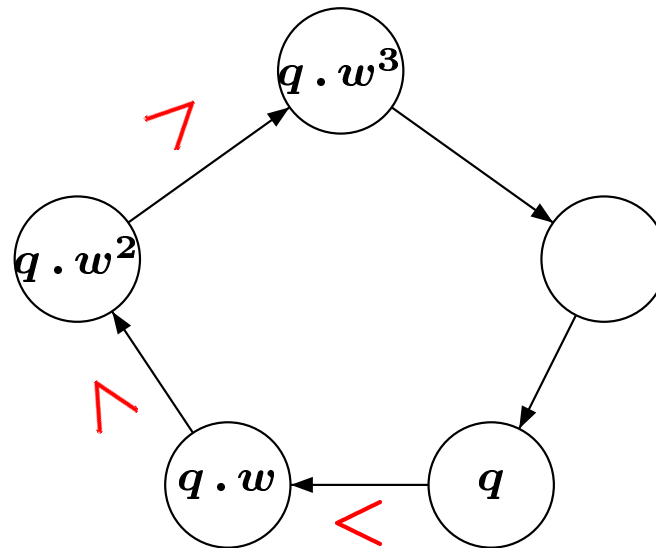


Monotonicity

A DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ is *monotonic* if Q admits a linear order \leq such that, for $a \in \Sigma$, the transformation $\delta(_, a)$ of Q preserves \leq :

$$p \leq q \Rightarrow \delta(p, a) \leq \delta(q, a).$$

Monotonic automata are aperiodic (known and easy).

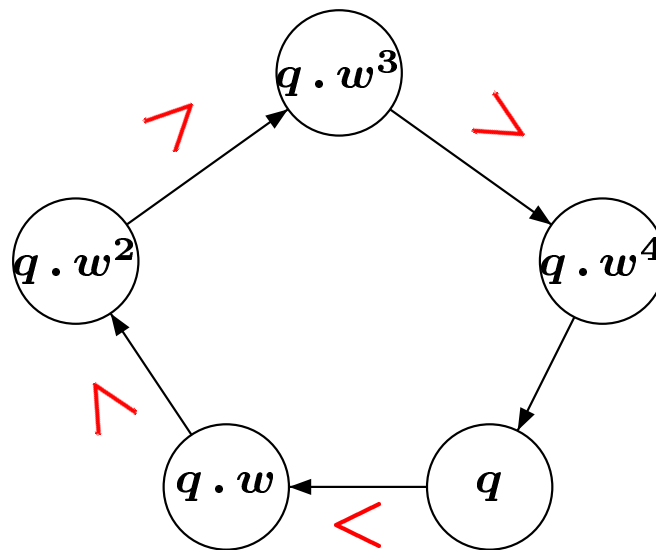


Monotonicity

A DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ is *monotonic* if Q admits a linear order \leq such that, for $a \in \Sigma$, the transformation $\delta(_, a)$ of Q preserves \leq :

$$p \leq q \Rightarrow \delta(p, a) \leq \delta(q, a).$$

Monotonic automata are aperiodic (known and easy).

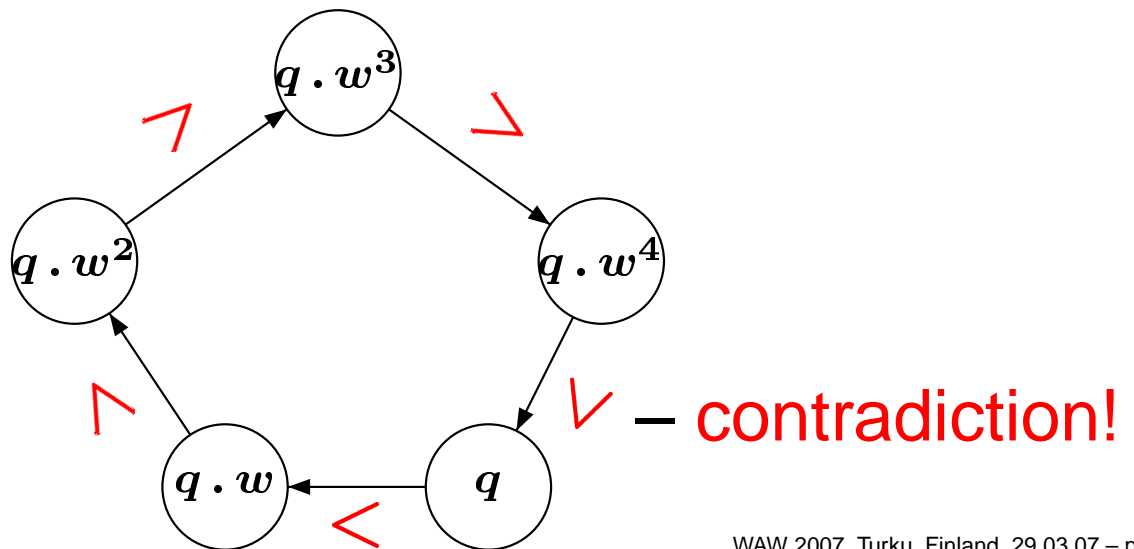


Monotonicity

A DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ is *monotonic* if Q admits a linear order \leq such that, for $a \in \Sigma$, the transformation $\delta(_, a)$ of Q preserves \leq :

$$p \leq q \Rightarrow \delta(p, a) \leq \delta(q, a).$$

Monotonic automata are aperiodic (known and easy).



For monotonic automata the synchronization problem is easy: Ananichev and ~ (2004) observed that every monotonic synchronizing automaton with n states has a reset word of length $\leq n - 1$ and the bound is tight.

For monotonic automata the synchronization problem is easy: Ananichev and ~ (2004) observed that every monotonic synchronizing automaton with n states has a reset word of length $\leq n - 1$ and the bound is tight.

A much more difficult case of so-called 0-monotonic automata was analyzed by Ananichev in 2005.

For monotonic automata the synchronization problem is easy: Ananichev and ~ (2004) observed that every monotonic synchronizing automaton with n states has a reset word of length $\leq n - 1$ and the bound is tight.

A much more difficult case of so-called 0-monotonic automata was analyzed by Ananichev in 2005. A DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ is *0-monotonic* if it has a unique *sink* $s \in Q$ and $Q \setminus \{s\}$ admits a linear order \leq preserved by the restrictions of the transformations $\delta(\sqcup, a)$ to $Q \setminus \{s\}$.

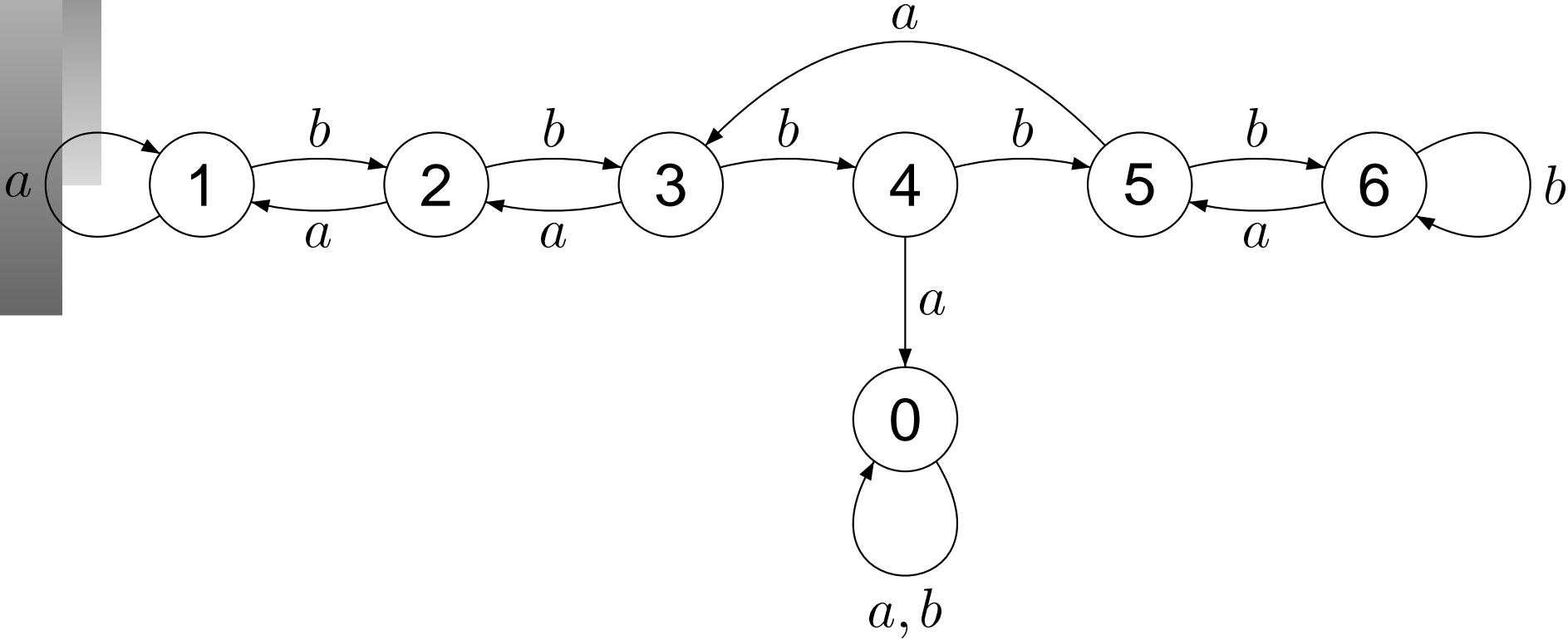
For monotonic automata the synchronization problem is easy: Ananichev and ~ (2004) observed that every monotonic synchronizing automaton with n states has a reset word of length $\leq n - 1$ and the bound is tight.

A much more difficult case of so-called 0-monotonic automata was analyzed by Ananichev in 2005. A DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ is *0-monotonic* if it has a unique *sink* $s \in Q$ and $Q \setminus \{s\}$ admits a linear order \leq preserved by the restrictions of the transformations $\delta(\sqcup, a)$ to $Q \setminus \{s\}$. Clearly, 0-monotonic automata are in a 1-1 correspondence with **incomplete** monotonic automata.

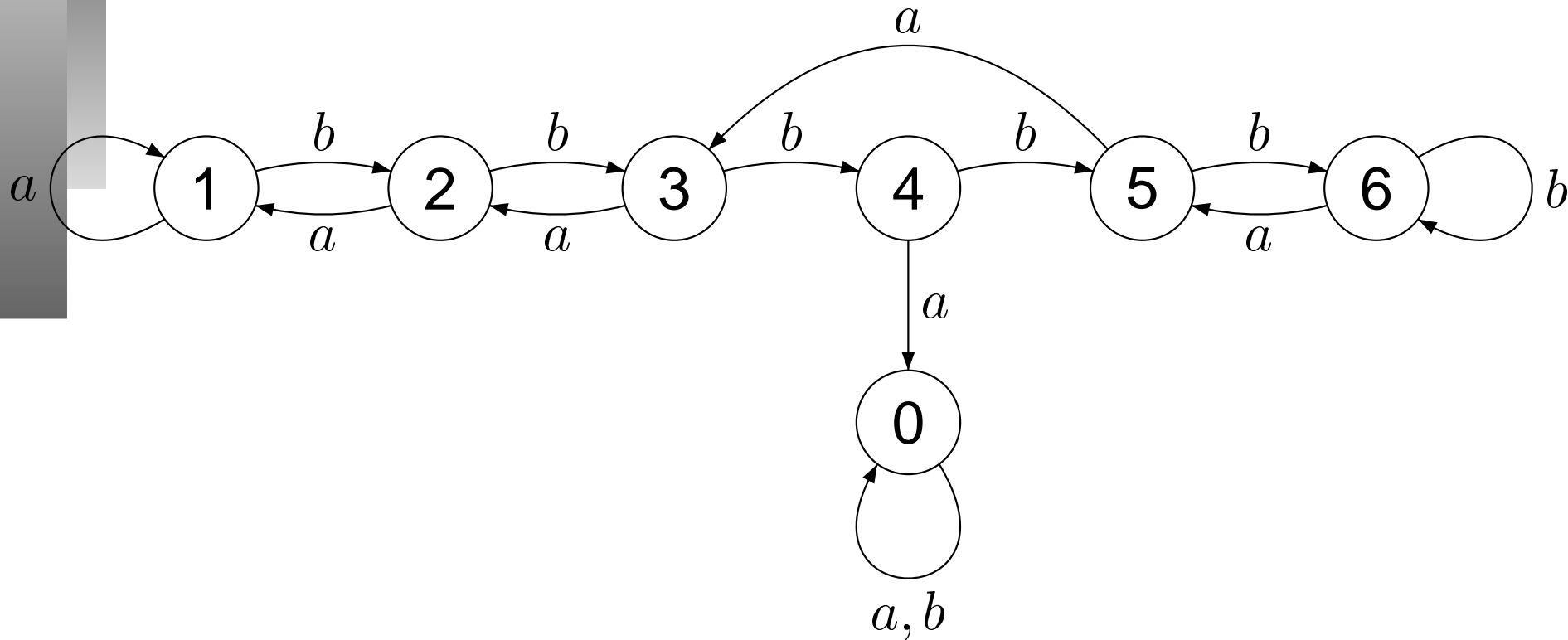
For monotonic automata the synchronization problem is easy: Ananichev and ~ (2004) observed that every monotonic synchronizing automaton with n states has a reset word of length $\leq n - 1$ and the bound is tight.

A much more difficult case of so-called 0-monotonic automata was analyzed by Ananichev in 2005. A DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ is *0-monotonic* if it has a unique *sink* $s \in Q$ and $Q \setminus \{s\}$ admits a linear order \leq preserved by the restrictions of the transformations $\delta(\sqcup, a)$ to $Q \setminus \{s\}$. Clearly, 0-monotonic automata are in a 1-1 correspondence with **incomplete** monotonic automata. Again, it is known and easy to check that 0-monotonic automata are aperiodic.

Monotonicity

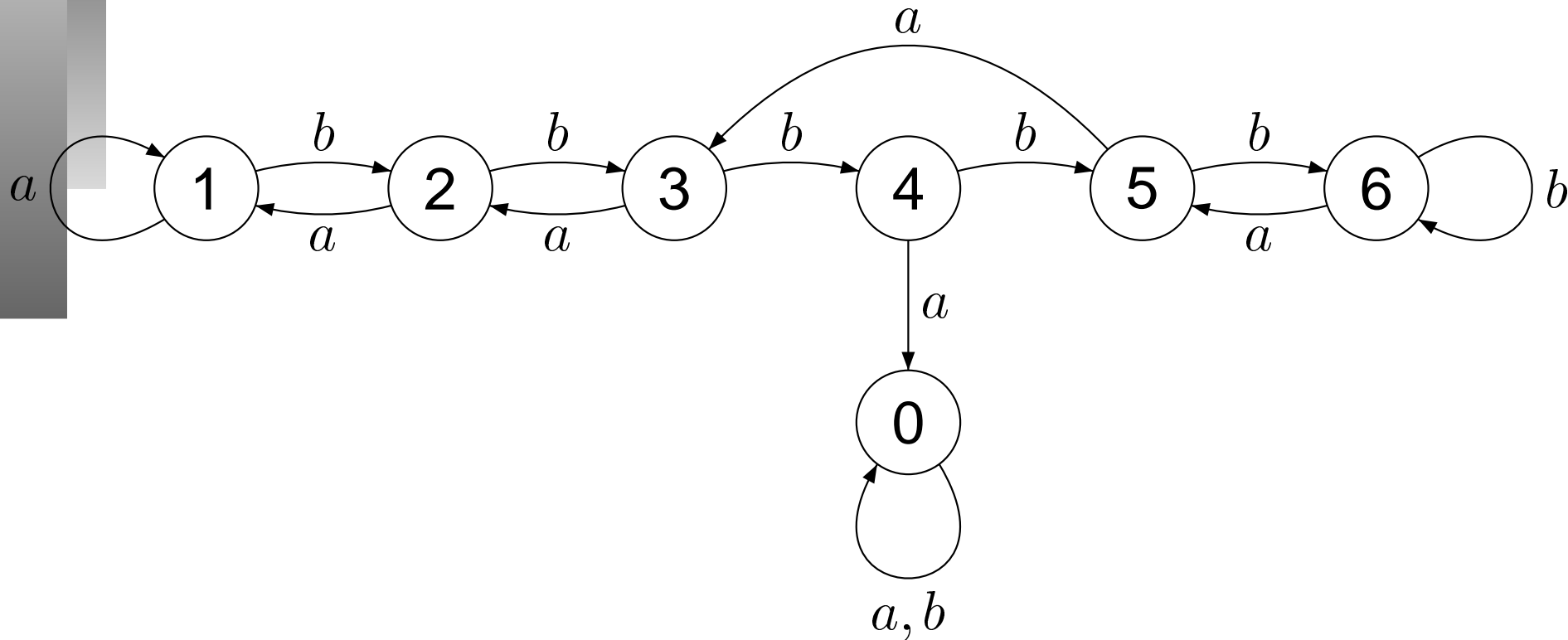


Monotonicity



This 0-monotonic automaton is the first in Ananichev's series that yields the lower bound $SAS(n) \geq n + \lfloor \frac{n}{2} \rfloor - 2$.

Monotonicity



This 0-monotonic automaton is the first in Ananichev's series that yields the lower bound $SAS(n) \geq n + \lfloor \frac{n}{2} \rfloor - 2$. It has 7 states and its shortest reset word is a^4b^3a of length $7 + \lfloor \frac{7}{2} \rfloor - 2 = 8$.

Generalized Monotonicity

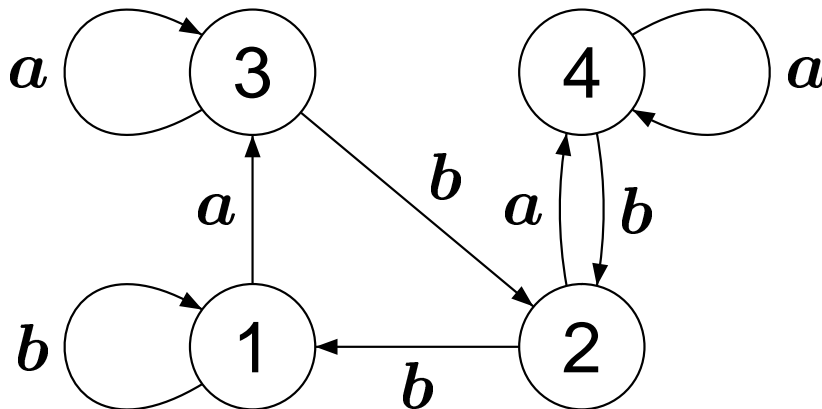
An equivalence relation ρ on the state set Q of a DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ is a *congruence* if $(p, q) \in \rho$ implies $(\delta(p, a), \delta(q, a)) \in \rho$ for all $p, q \in Q$ and all $a \in \Sigma$.

Generalized Monotonicity

An equivalence relation ρ on the state set Q of a DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ is a *congruence* if $(p, q) \in \rho$ implies $(\delta(p, a), \delta(q, a)) \in \rho$ for all $p, q \in Q$ and all $a \in \Sigma$. $[q]_\rho$ is the ρ -class containing the state q . The *quotient* \mathcal{A}/ρ is the DFA $\langle Q/\rho, \Sigma, \delta_\rho \rangle$ where $Q/\rho = \{[q]_\rho \mid q \in Q\}$ and the function δ_ρ is defined by the rule $\delta_\rho([q]_\rho, a) = [\delta(q, a)]_\rho$ for all $q \in Q$ and $a \in \Sigma$.

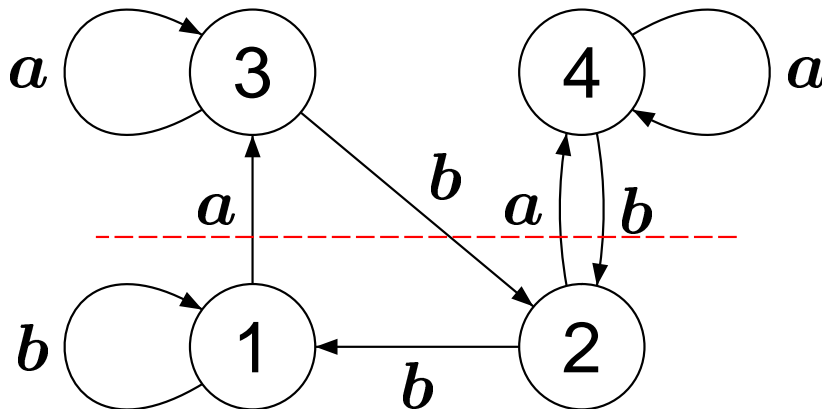
Generalized Monotonicity

An equivalence relation ρ on the state set Q of a DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ is a *congruence* if $(p, q) \in \rho$ implies $(\delta(p, a), \delta(q, a)) \in \rho$ for all $p, q \in Q$ and all $a \in \Sigma$. $[q]_\rho$ is the ρ -class containing the state q . The *quotient* \mathcal{A}/ρ is the DFA $\langle Q/\rho, \Sigma, \delta_\rho \rangle$ where $Q/\rho = \{[q]_\rho \mid q \in Q\}$ and the function δ_ρ is defined by the rule $\delta_\rho([q]_\rho, a) = [\delta(q, a)]_\rho$ for all $q \in Q$ and $a \in \Sigma$.



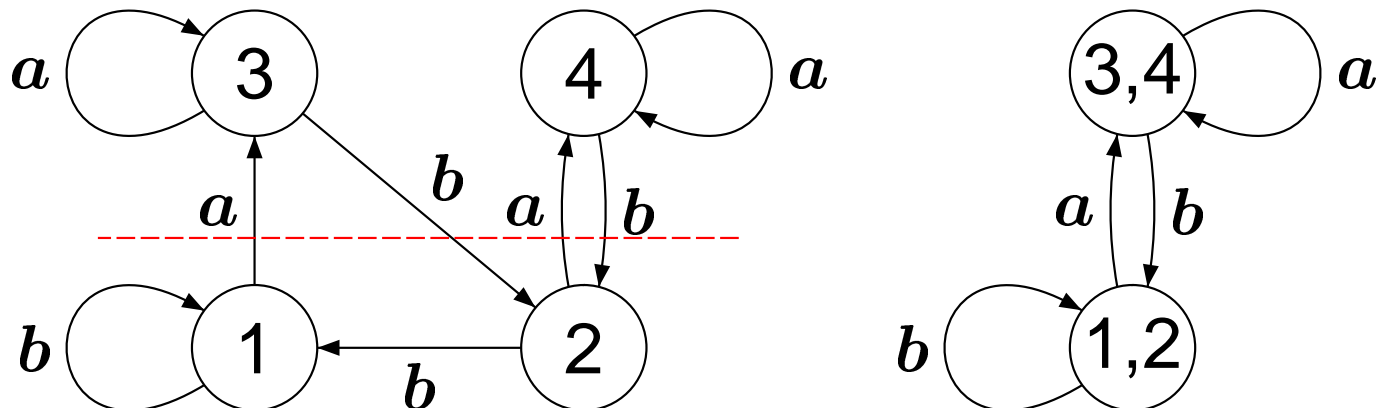
Generalized Monotonicity

An equivalence relation ρ on the state set Q of a DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ is a *congruence* if $(p, q) \in \rho$ implies $(\delta(p, a), \delta(q, a)) \in \rho$ for all $p, q \in Q$ and all $a \in \Sigma$. $[q]_\rho$ is the ρ -class containing the state q . The *quotient* \mathcal{A}/ρ is the DFA $\langle Q/\rho, \Sigma, \delta_\rho \rangle$ where $Q/\rho = \{[q]_\rho \mid q \in Q\}$ and the function δ_ρ is defined by the rule $\delta_\rho([q]_\rho, a) = [\delta(q, a)]_\rho$ for all $q \in Q$ and $a \in \Sigma$.



Generalized Monotonicity

An equivalence relation ρ on the state set Q of a DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ is a *congruence* if $(p, q) \in \rho$ implies $(\delta(p, a), \delta(q, a)) \in \rho$ for all $p, q \in Q$ and all $a \in \Sigma$. $[q]_\rho$ is the ρ -class containing the state q . The *quotient* \mathcal{A}/ρ is the DFA $\langle Q/\rho, \Sigma, \delta_\rho \rangle$ where $Q/\rho = \{[q]_\rho \mid q \in Q\}$ and the function δ_ρ is defined by the rule $\delta_\rho([q]_\rho, a) = [\delta(q, a)]_\rho$ for all $q \in Q$ and $a \in \Sigma$.



Generalized Monotonicity

Let ρ be a congruence on a DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$.

Generalized Monotonicity

Let ρ be a congruence on a DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$. The automaton is ρ -*monotonic* if there exists a (partial) order \leq on the set Q such that:

Generalized Monotonicity

Let ρ be a congruence on a DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$. The automaton is ρ -monotonic if there exists a (partial) order \leq on the set Q such that:

1) the order \leq is contained in ρ (as a subset of $Q \times Q$) and its restriction to any ρ -class is a linear order;

Generalized Monotonicity

Let ρ be a congruence on a DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$. The automaton is ρ -monotonic if there exists a (partial) order \leq on the set Q such that:

- 1) the order \leq is contained in ρ (as a subset of $Q \times Q$) and its restriction to any ρ -class is a linear order;
- 2) for each $a \in \Sigma$, the transformation $\delta(_, a) : Q \rightarrow Q$ preserves \leq .

Generalized Monotonicity

Let ρ be a congruence on a DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$. The automaton is ρ -monotonic if there exists a (partial) order \leq on the set Q such that:

- 1) the order \leq is contained in ρ (as a subset of $Q \times Q$) and its restriction to any ρ -class is a linear order;
- 2) for each $a \in \Sigma$, the transformation $\delta(_, a) : Q \rightarrow Q$ preserves \leq .

Clearly, for ρ being universal, ρ -monotonic automata are precisely monotonic automata.

Generalized Monotonicity

Let ρ be a congruence on a DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$. The automaton is ρ -monotonic if there exists a (partial) order \leq on the set Q such that:

- 1) the order \leq is contained in ρ (as a subset of $Q \times Q$) and its restriction to any ρ -class is a linear order;
- 2) for each $a \in \Sigma$, the transformation $\delta(_, a) : Q \rightarrow Q$ preserves \leq .

Clearly, for ρ being universal, ρ -monotonic automata are precisely monotonic automata. On the other hand, for ρ being the equality, every DFA is ρ -monotonic.

Generalized Monotonicity

We call a DFA \mathcal{A} *generalized monotonic of level ℓ* if it has a strictly increasing chain of congruences

$$\rho_0 \subset \rho_1 \subset \cdots \subset \rho_\ell,$$

in which ρ_0 is the equality, ρ_ℓ is universal, and \mathcal{A} / ρ_{i-1} is ρ_i / ρ_{i-1} -monotonic for each $i = 1, \dots, \ell$.

Generalized Monotonicity

We call a DFA \mathcal{A} *generalized monotonic of level ℓ* if it has a strictly increasing chain of congruences

$$\rho_0 \subset \rho_1 \subset \cdots \subset \rho_\ell,$$

in which ρ_0 is the equality, ρ_ℓ is universal, and \mathcal{A} / ρ_{i-1} is ρ_i / ρ_{i-1} -monotonic for each $i = 1, \dots, \ell$.

Monotonic automata are precisely generalized monotonic automata of level 1.

Generalized Monotonicity

We call a DFA \mathcal{A} *generalized monotonic of level ℓ* if it has a strictly increasing chain of congruences

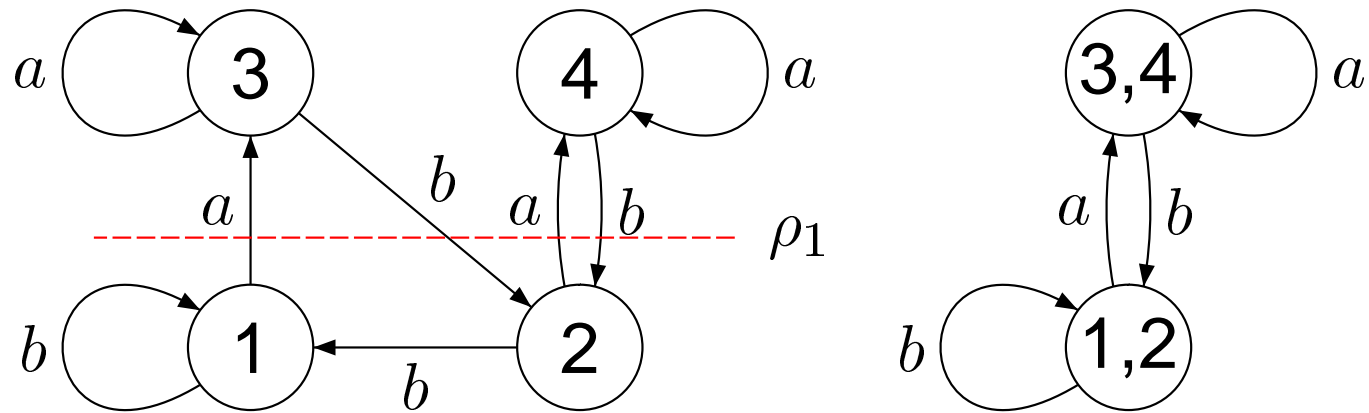
$$\rho_0 \subset \rho_1 \subset \dots \subset \rho_\ell,$$

in which ρ_0 is the equality, ρ_ℓ is universal, and \mathcal{A} / ρ_{i-1} is ρ_i / ρ_{i-1} -monotonic for each $i = 1, \dots, \ell$.

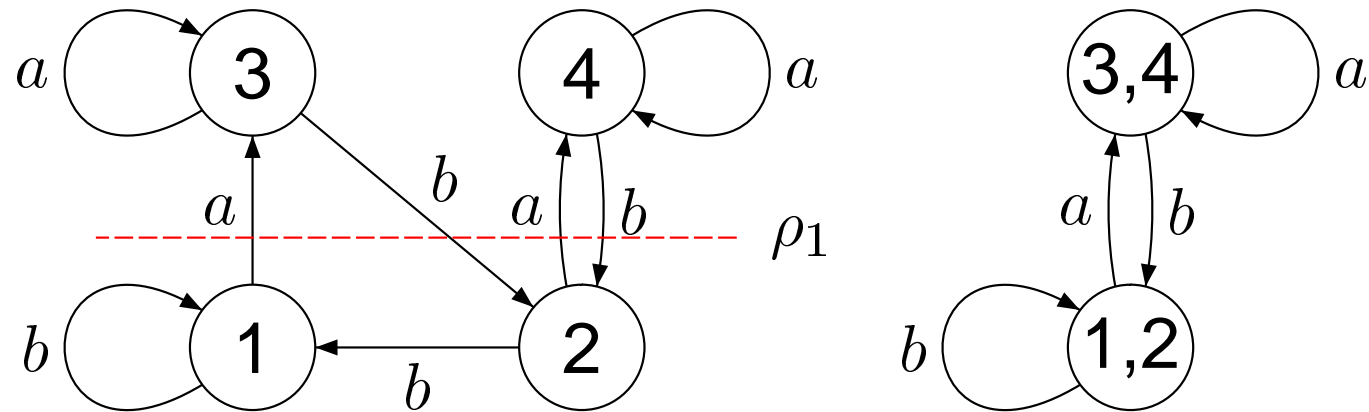
Monotonic automata are precisely generalized monotonic automata of level 1.

The automaton in the example two slides ago is a generalized monotonic automaton of level 2.

Generalized Monotonicity

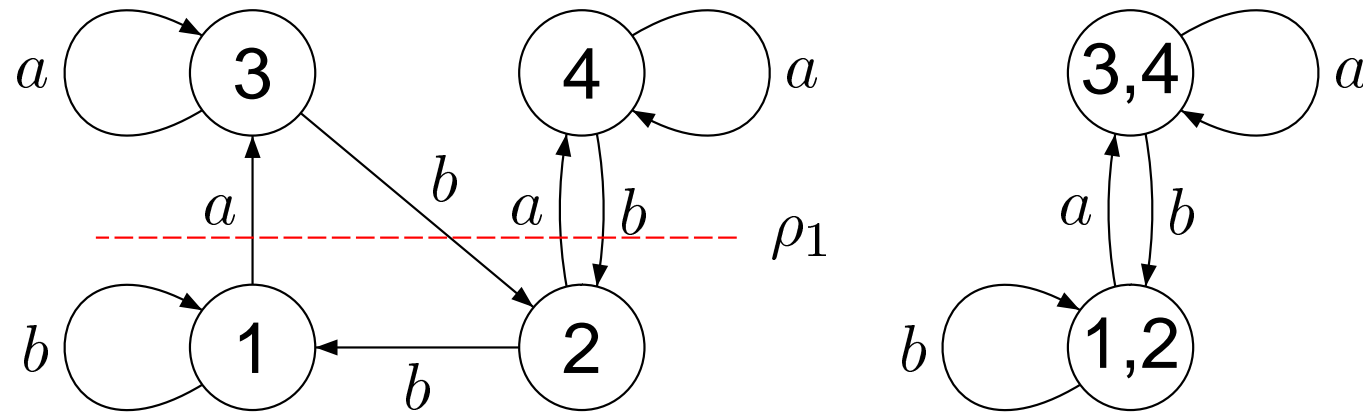


Generalized Monotonicity



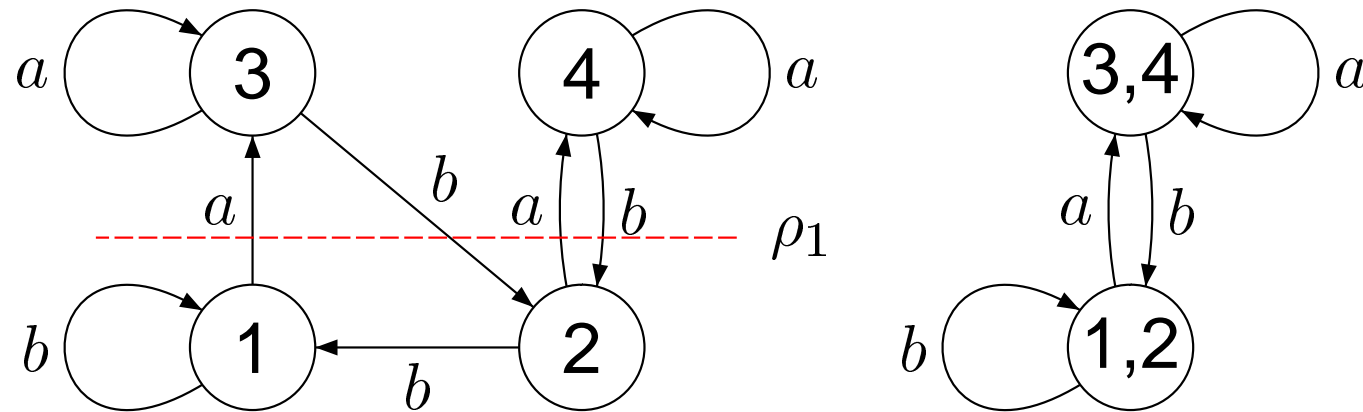
Endowing Q with the order \leq_1 such that $1 <_1 2$ and $3 <_1 4$, we see that the automaton is ρ_1 -monotonic.

Generalized Monotonicity



Endowing Q with the order \leq_1 such that $1 <_1 2$ and $3 <_1 4$, we see that the automaton is ρ_1 -monotonic. If we order Q/ρ_1 by letting $\{1, 2\} <_2 \{3, 4\}$, the quotient automaton becomes monotonic.

Generalized Monotonicity



Endowing Q with the order \leq_1 such that $1 <_1 2$ and $3 <_1 4$, we see that the automaton is ρ_1 -monotonic. If we order Q/ρ_1 by letting $\{1, 2\} <_2 \{3, 4\}$, the quotient automaton becomes monotonic.

It can be shown that the automaton is not monotonic.

Generalized Monotonicity

1. The hierarchy of generalized monotonic automata is strict: there are automata of each level $\ell = 1, 2, \dots$.

Generalized Monotonicity

1. The hierarchy of generalized monotonic automata is strict: there are automata of each level $\ell = 1, 2, \dots$.
2. Every generalized monotonic automaton is aperiodic.

Generalized Monotonicity

1. The hierarchy of generalized monotonic automata is strict: there are automata of each level $\ell = 1, 2, \dots$.
2. Every generalized monotonic automaton is aperiodic.
3. Every star-free language can be recognized by a generalized monotonic automaton.

Generalized Monotonicity

1. The hierarchy of generalized monotonic automata is strict: there are automata of each level $\ell = 1, 2, \dots$.
2. Every generalized monotonic automaton is aperiodic.
3. Every star-free language can be recognized by a generalized monotonic automaton.

However, generalized monotonic automata are **not** representative for the class A_p from the synchronization point of view: Ananichev and \sim (2005) proved that every generalized monotonic synchronizing automaton with n states has a reset word of length $\leq n - 1$.

Yet Another Generalization

Surprisingly, a slight relaxation of the definition of a generalized monotonic automaton gives a much larger class of automata that strictly includes A_p .

Yet Another Generalization

Surprisingly, a slight relaxation of the definition of a generalized monotonic automaton gives a much larger class of automata that strictly includes A_p .

A finite poset $\langle X, \leq \rangle$ is *connected* if for every $x, y \in X$ there exist $x_0, x_1, \dots, x_k \in X$ such that $x = x_0$, $x_k = y$, and for each $i = 1, \dots, k$ either $x_{i-1} \leq x_i$ or $x_i \leq x_{i-1}$.

Yet Another Generalization

Surprisingly, a slight relaxation of the definition of a generalized monotonic automaton gives a much larger class of automata that strictly includes A_p .

A finite poset $\langle X, \leq \rangle$ is *connected* if for every $x, y \in X$ there exist $x_0, x_1, \dots, x_k \in X$ such that $x = x_0$, $x_k = y$, and for each $i = 1, \dots, k$ either $x_{i-1} \leq x_i$ or $x_i \leq x_{i-1}$.

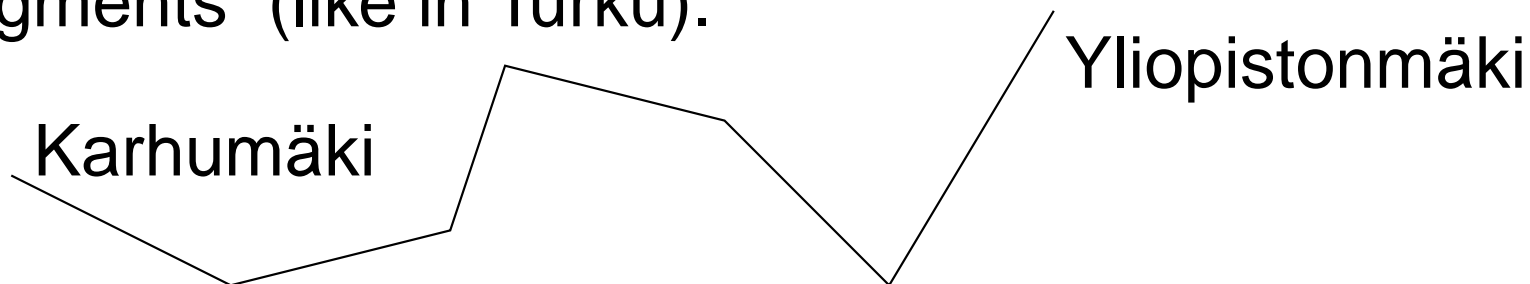
This simply means that the Hasse diagram of $\langle X, \leq \rangle$ is connected as a graph – one can walk from each point to each other via alternating uphill and downhill segments

Yet Another Generalization

Surprisingly, a slight relaxation of the definition of a generalized monotonic automaton gives a much larger class of automata that strictly includes A_p .

A finite poset $\langle X, \leq \rangle$ is *connected* if for every $x, y \in X$ there exist $x_0, x_1, \dots, x_k \in X$ such that $x = x_0$, $x_k = y$, and for each $i = 1, \dots, k$ either $x_{i-1} \leq x_i$ or $x_i \leq x_{i-1}$.

This simply means that the Hasse diagram of $\langle X, \leq \rangle$ is connected as a graph – one can walk from each point to each other via alternating uphill and downhill segments (like in Turku).



Yet Another Generalization

Let ρ be a congruence on a DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$. We say that \mathcal{A} is *partially ρ -monotonic* if there exists a partial order \leq on Q such that:

Yet Another Generalization

Let ρ be a congruence on a DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$. We say that \mathcal{A} is *partially ρ -monotonic* if there exists a partial order \leq on Q such that:

1) the order \leq is contained in ρ (as a subset of $Q \times Q$) and its restriction to any ρ -class is **connected**;

Yet Another Generalization

Let ρ be a congruence on a DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$. We say that \mathcal{A} is *partially ρ -monotonic* if there exists a partial order \leq on Q such that:

- 1) the order \leq is contained in ρ (as a subset of $Q \times Q$) and its restriction to any ρ -class is **connected**;
- 2) for each $a \in \Sigma$, the transformation $\delta(_, a) : Q \rightarrow Q$ preserves \leq .

Yet Another Generalization

Let ρ be a congruence on a DFA $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$. We say that \mathcal{A} is *partially ρ -monotonic* if there exists a partial order \leq on Q such that:

- 1) the order \leq is contained in ρ (as a subset of $Q \times Q$) and its restriction to any ρ -class is **connected**;
- 2) for each $a \in \Sigma$, the transformation $\delta(_, a) : Q \rightarrow Q$ preserves \leq .

We call a DFA \mathcal{A} *generalized partially monotonic of level ℓ* if it has a chain of congruences

$$\rho_0 \subset \rho_1 \subset \cdots \subset \rho_\ell,$$

in which ρ_0 is the equality, ρ_ℓ is universal, and \mathcal{A} / ρ_{i-1} is partially ρ_i / ρ_{i-1} -monotonic for each $i = 1, \dots, \ell$.

Yet Another Generalization

Examples:

- every **aperiodic** automaton is generalized partially monotonic;

Yet Another Generalization

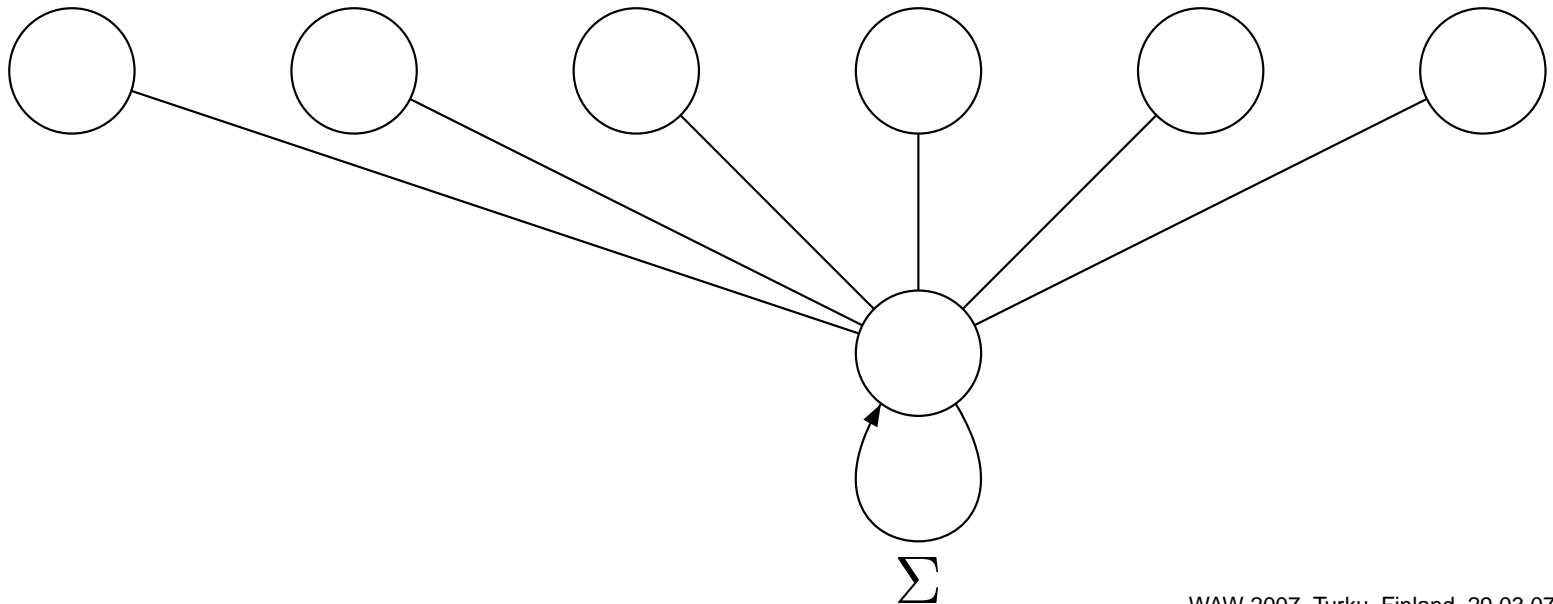
Examples:

- every **aperiodic** automaton is generalized partially monotonic;
- every automaton **with a unique sink state** is generalized partially monotonic (of level 1).

Yet Another Generalization

Examples:

- every **aperiodic** automaton is generalized partially monotonic;
- every automaton **with a unique sink state** is generalized partially monotonic (of level 1).



Yet Another Generalization

Results:

- Every generalized partially monotonic automaton with a strongly connected underlying digraph is synchronizing. (A non-trivial generalization of the corresponding result for aperiodic automata.)

Yet Another Generalization

Results:

- Every generalized partially monotonic automaton with a strongly connected underlying digraph is synchronizing. (A non-trivial generalization of the corresponding result for aperiodic automata.)
- Every generalized partially monotonic automaton with a strongly connected underlying digraph and n states has a reset word of length $\leq \left\lfloor \frac{n(n+1)}{6} \right\rfloor$. (This upper bound is new even for the aperiodic case.)

Yet Another Generalization

Results:

- Every generalized partially monotonic automaton with a strongly connected underlying digraph is synchronizing. (A non-trivial generalization of the corresponding result for aperiodic automata.)
- Every generalized partially monotonic automaton with a strongly connected underlying digraph and n states has a reset word of length $\leq \left\lfloor \frac{n(n+1)}{6} \right\rfloor$. (This upper bound is new even for the aperiodic case.)
- An arbitrary synchronizing generalized partially monotonic automaton with n states has a reset word of length $\leq \frac{n(n-1)}{2}$ and this bound is tight. (Trakhtman's upper bound for $SAS(n)$ is a very special case.)

We have described three classes of automata which are defined by certain monotonicity conditions and are closely related to the class A_p :

We have described three classes of automata which are defined by certain monotonicity conditions and are closely related to the class A_p :

- 0-monotonic automata;
- generalized monotonic automata;
- generalized partially monotonic automata.

We have described three classes of automata which are defined by certain monotonicity conditions and are closely related to the class A_p :

- 0-monotonic automata;
- generalized monotonic automata;
- generalized partially monotonic automata.

The first two classes are strictly contained in A_p while the third class strictly contains A_p .

We have described three classes of automata which are defined by certain monotonicity conditions and are closely related to the class A_p :

- 0-monotonic automata;
- generalized monotonic automata;
- generalized partially monotonic automata.

The first two classes are strictly contained in A_p while the third class strictly contains A_p . We know the minimum length of reset words for synchronizing automata with n states in each of these classes ($n + \lfloor \frac{n}{2} \rfloor - 2$, $n - 1$ and $\frac{n(n-1)}{2}$ respectively).

We have described three classes of automata which are defined by certain monotonicity conditions and are closely related to the class A_p :

- 0-monotonic automata;
- generalized monotonic automata;
- generalized partially monotonic automata.

The first two classes are strictly contained in A_p while the third class strictly contains A_p . We know the minimum length of reset words for synchronizing automata with n states in each of these classes ($n + \lfloor \frac{n}{2} \rfloor - 2$, $n - 1$ and $\frac{n(n-1)}{2}$ respectively). However, the precise value of $SAS(n)$ remains unknown.